

Math 434 Assignment 5

Due May 24

Assignments will be collected in class.

1. Let λ be a limit ordinal, and for each $\alpha < \lambda$, let X_α be a set with $X_\alpha \subseteq X_\beta$ when $\alpha < \beta$. Let $X_\lambda = \bigcup_{\alpha < \lambda} X_\alpha$.

Suppose that each X_α is well-ordered by \leq_α , and suppose that

- (a) If $\alpha < \beta$, then \leq_β extends \leq_α .
- (b) If $\alpha < \beta$, $x \in X_\alpha$, and $y \in X_\beta - X_\alpha$, then $x <_\beta y$.

Prove that X_λ is well-ordered by setting $x \leq_\lambda y$ if and only if there is $\alpha < \lambda$ with $x \leq_\alpha y$.

2. The Σ_1 formulas are defined by:

- if φ is Δ_0 , then φ is Σ_1 ;
- if $\varphi(x)$ is Σ_1 , then $\exists x \varphi(x)$ is Σ_1 ;
- if $\varphi(x)$ is Σ_1 , then $\exists x \in y \varphi(x)$ and $\forall x \in y \varphi(x)$ are Σ_1 .

Similarly, the Π_1 formulas are defined by:

- if φ is Δ_0 , then φ is Π_1 ;
- if $\varphi(x)$ is Π_1 , then $\forall x \varphi(x)$ is Π_1 ;
- $\varphi(x)$ is Π_1 , then $\exists x \in y \varphi(x)$ and $\forall x \in y \varphi(x)$ are Π_1 .

- (a) Let $M \models ZF$ be a transitive model of set theory. Given a set a , prove that

$$M \models (\forall x \in y) \exists z \varphi(x, z)$$

if and only if

$$M \models \exists u (\forall x \in y)(\exists z \in u) \varphi(x, z)$$

Hint: Use the Axiom Schema of Collection, which says that if for all $x \in A$ there exists a y with $\varphi(x, y)$, then there exists a set B such that for all $x \in A$ there is a $y \in B$ with $\varphi(x, y)$. This is a consequence of ZF.

Let $M \subseteq N$ be transitive models of ZF, $\varphi(\bar{x})$ a formula, and $\bar{a} \in M$. Prove:

- (b) If $\varphi(\bar{x})$ is a Σ_1 formula, then $M \models \varphi(\bar{a})$ implies that $N \models \varphi(\bar{a})$.
- (c) If $\varphi(\bar{x})$ is a Π_1 formula, then $N \models \varphi(\bar{a})$ implies that $M \models \varphi(\bar{a})$.
3. For this question, work in ZFC+GCH. So for any cardinal κ , $|\mathcal{P}(\kappa)| = 2^\kappa = \kappa^+$, the least cardinal greater than κ . Let κ, μ be two infinite cardinals.
- (a) Prove that $\kappa^\kappa = \kappa^+$.
- (b) Prove that if $\mu^+ \geq \kappa$, then $\kappa^\mu = \mu^+$.
- (c) Prove that if $\mu^+ < \kappa$ and $\mu \geq \text{cof}(\kappa)$, then $\kappa^\mu = \kappa^+$. *Hint: κ must be singular and hence a limit cardinal (the proof of this fact might give you some inspiration). So if $\lambda < \kappa$, then $\lambda^+ < \kappa$. Also, a function $\kappa \rightarrow 2$ is determined by its restriction to each $\lambda < \kappa$.*

Now assume that $\mu^+ < \kappa$ and $\mu < \text{cof}(\kappa)$. Then $\kappa > \aleph_0$, so either $\kappa = \lambda^+$ for some infinite cardinal λ , or κ is a limit cardinal.

- (d) Prove that if κ is a successor cardinal, then $\kappa^\mu = \kappa$.
- (e) Suppose that κ is a limit cardinal. Given a function $f: \mu \rightarrow \kappa$, recall that since $\mu < \text{cof}(\kappa)$, there is an infinite cardinal $\lambda < \kappa$ such that $\text{ran}(f) \subseteq \lambda$.
Prove that $\kappa^\mu = \sup_{\lambda < \kappa} \lambda^\mu$, so that $\kappa^\mu = \kappa$.

We have shown that, assuming GCH,

$$\kappa^\mu = \begin{cases} \kappa & \text{if } \mu^+ < \kappa \text{ and } \mu < \text{cof}(\kappa) \\ \kappa^+ & \text{if } \mu^+ < \kappa \text{ and } \mu \geq \text{cof}(\kappa) \\ \mu^+ & \text{if } \mu^+ \geq \kappa \end{cases}.$$

4. Let X be a set. Prove that there is $Y \in \mathcal{P}(X) - \mathcal{P}_{\text{def}}(X)$.
5. Let Γ be a finite subset of ZFC. Prove that $ZFC \vdash \text{Con}(\Gamma)$. Conclude that ZFC is not finitely axiomatizable.